

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Philip S. Siegel  
- Serial No.: 09/817,353  
Filing Date: March 26, 2001  
Group Art Unit: 3627  
Examiner: Joseph A. Fischetti  
Title: SYSTEM AND METHOD FOR SINGLE-ACTION  
RETURNS OF REMOTELY PURCHASED MERCHANDISE

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Counsel's Statement of Facts (2 pages) with copies of the provisional application and drawings (as filed), Baker Botts transmittal form, file-stamped return receipt postcard, and USTPO filing receipt (42 pages), Baker Botts return postcard (1 postcard), and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 15th day of March 2005 and is addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

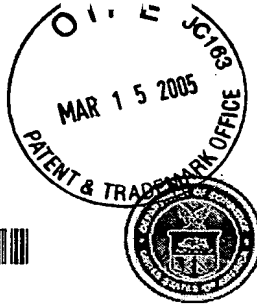
*Willie Jiles*

Willie Jiles

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No. EV 323314411 US

**CORRECTED FILING RECEIPT**

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**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/191,811	03/24/2000		75	067439.0111	5		

Thomas R Felger  
Baker Botts LLP  
2001 Ross Avenue  
Dallas, TX 75201-2980

Date Mailed: 07/18/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Philip S Siegel, Austin, TX ;

**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 05/18/2000

**\*\* SMALL ENTITY \*\*****Title**

System and method for single-action returns of remotely purchased merchandise

**Preliminary Class**

Data entry by : JONES, KIMBERLY

Team : OIPE

Date: 07/18/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

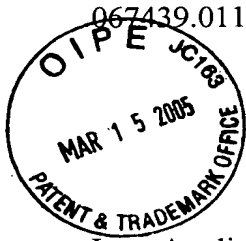
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
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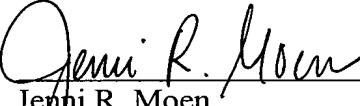
Dear Sir:

**COUNSEL'S STATEMENT OF FACTS**  
**IN RESPONSE TO OFFICE COMMUNICATION**

I am a patent attorney employed with Baker Botts L.L.P. I am listed as an attorney of record for the above-identified pending U.S. patent application entitled *System and Method for Single-Action Returns of Remotely Purchased Merchandise* filed on March 26, 2001, Application No. 09/817,353. The following facts relate to the subject application.

The U.S. provisional application entitled *System and Method for Single-Action Returns of Remotely Purchased Merchandise* was filed on March 24, 2000, application no. 60/191,811. Pursuant to 37 C.F.R. § 1.105, copies of the provisional application and drawings (as filed), Baker Botts transmittal form, file-stamped return receipt postcard, and filing receipt are attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application for any patent issuing thereon.

  
Jenni R. Moen  
Registration No. 52,038  
(214) 953-6809

Date: March 14, 2005

CORRESPONDENCE ADDRESS

at Customer No.: **05073**

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

<input checked="" type="checkbox"/> Circle One: New Appln.: C.P.A.; Con.: CIP.; DIV.: Provisional: <del>Converted Provisional</del>	<input type="checkbox"/> EXTENSION OF TIME MTHS _____
<input type="checkbox"/> DECLARATION/POWER OF ATTORNEY	<input type="checkbox"/> NOTICE OF APPEAL _____
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<input checked="" type="checkbox"/> VERIFIED STATEMENT	<input type="checkbox"/> ISSUE FEE _____
<input type="checkbox"/> FORMAL DWGS _____ PAGES	<input checked="" type="checkbox"/> CHECK NO. <u>101326</u> AMT.: <u>75.00</u>
<input checked="" type="checkbox"/> INFORMAL DWGS <u>5</u> PAGES	<input type="checkbox"/> SERIAL NO. _____
<input type="checkbox"/> Circle One: AMENDMENT/RESPONSE _____	<input type="checkbox"/> OTHER _____

NAME OF INVENTOR(S): <u>Philip S. Siegel</u>	
TITLE OF INVENTION: <u>St. info single Merchandise</u>	
CLIENT OR APPLICANT: <u>Return</u>	B&B FILE NO.: <u>067439.0111</u>

RECEIPT DATE & SERIAL NO:

MAILED: 3/24/00 EXPRESS ☒  
RECEIPT NO.: EL94439411 US

DUE: \_\_\_\_\_  
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